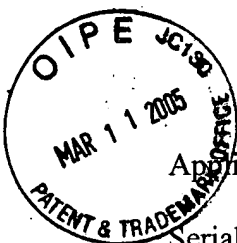


IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert A. Armbruster, et al.      Group Art Unit: 3617  
Serial No. 10/718,313      Examiner: (Not Assigned)

Filed: 11/20/2003

Title: STRATEGIES FOR LOCOMOTIVE OPERATION IN TUNNEL CONDITIONS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☒ 1. Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to 1st Office Action)
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
(before issue fee payment)

Commissioner of Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

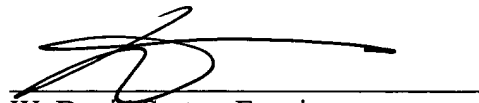
This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final office Action or Allowance, whichever is earlier; and
- ☐ 8. The required certification made in item 11 below; or
- ☐ 9. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement.

- ☐ 10. 37 CFR §1.95(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention: PETITIONS EXAMINER" and, if applicable, include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_"), and
- b) The required Certification is stated in item 11 below.
- ☐ 11. Certification
- ☒ 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- or
- ☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0846.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



W. David Sartor, Esquire

Reg. No. 50,560

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